Investment Advisors

Howard Aschwald, CFA

Quantum Capital Management, 770 Tamalpais Drive, Ste. 204, Corte Madera, CA 94925
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Description of Business: Chief Investment Officer and portfolio manager for an investment management company, Quantum Capital Management, Inc. Firm manages discretionary accounts for high net worth clients and institutions. We work with other estate planning professionals to customize and optimize financial planning for our clients. Our primary emphasis is management of investment assets. We are fiduciaries for trusts and ERISA pension accounts. See website: www.quantumcap.com for more details.
Personal Background: Grew up in Eugene, Oregon. Graduated from the US Naval Academy and served on active duty for 5 years in Pearl Harbor, San Diego and San Francisco. Spent 24 more years in the Navy Reserve and recently retired as a Captain. One MPA in Finance and a second MBA in Financial Planning from Golden Gate University. Chartered Financial Analyst. Started in the investment business in 1982. One daughter teaches at Dominican; other daughter is a physician assistant in Phoenix. Son is a Lieutenant in the Navy. Spouse is Michelle; we live in San Rafael.

John Baxman, CFP®

Litman/Gregory Asset Management, 100 Larkspur Landing Cir., Ste. 204, Larkspur, CA 94939
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Email: john.baxman@lgam.com

Description of Business: Independent, fee-only investment management services for individuals, families, foundations, and endowments.
Personal Background: John is a Certified Financial Planner with over 14 years of investment management experience.

Jennifer M. Butters, AAMS

Edward Jones, 4340 Redwood Hwy., Ste. D305, San Rafael, CA 94903
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Description of Business: Investments, Life Insurance, Long Term Care

Joe Caldwell, CFA, D.Min.

The Investment and Philanthropy Corp., 96 Anchorage Road, Sausalito, CA 94965
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Description of Business: Expert portfolio management for clients with more than $500,000 to invest. Specialize in retirement assets, trust, charitable endowments & private foundations. Fiduciary-friendly management system for trusts, private foundations and charitable endowment.
Personal Background: Seventeen years' experience in portfolio management.

Vincent J. Crivello

Loring Ward, 3055 Olin Ave., Ste. 2000, San Jose, CA 95128
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Description of Business: Vincent Crivello is a Regional Director for Loring Ward, a third party asset management firm. Loring Ward provides investment management and backoffice services to financial advisors serving affluent and high net worth families. In addition to his investment consulting services, Vince has built asset management products, worked with individual investors, and managed trusts.
Personal Background: Born in Monterey, California, Vince now resides in Novato with his wife Linda and three sons Tyler, Ryan, and Vincent. An avid outdoorsman, Vince enjoys snow skiing, mountain biking, tennis, and coaching his children's sports teams.

Joseph P. Delaney, CPA

Vista Wealth Management , 5 Hamilton Landing, Ste. 120, Novato, CA 94949
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Description of Business: We offer a comprehensive suite of wealth management services including investment management, financial and insurance planning and coordinated estate planning. We act as your personal CFO so you can focus on the more important aspects of your life.
Personal Background: Mr. Delaney received his BA from Stanford University and his MBA from UCLA. He is also a CPA.

Scot Elrod

Taddei, Ludwig & Associates, 999 5th Ave., Ste. 230, San Rafael, CA 94901
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Description of Business: We are a team of financial professionals dedicated to helping clients meet their financial goals. Our area of speciality is small business owners and their key employees.

Geoffrey A. Hakim, CFP®

Marin Capital Management, 4040 Civic Center Drive, Ste. 200, San Rafael, CA 94903
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Description of Business: Our firm designs investment portfolios that are both protective and defensive, having the ability to perform well in both good and bad economic times. This is accomplished by utilizing investments having low to negative correlations to the behavior of volatile stocks. We also offer retirement planning services around the question of "Will you have enough?"
Personal Background: 1) 14 years experience in commercial Real Estate as a developer, investor and broker; 2) 14 years experience as an Investment Advisor.

John F. Hammond, CLU, ChFC

Taddei, Ludwig & Associates, 77 Mark Drive, Ste. 13, San Rafael, CA 94903
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Description of Business: I am an independent financial advisor helping individuals, families and small businesses find opportunities and solutions to problems through planning, investment management and insurance products.
Personal Background: University of Arizona business major. My wife and I have been residents of Marin for 40+ years. Past president of the S.F. Life Underwriters Ass'n. and the Marin Chapter of the Society of Financial Service Professionals. Served on boards of the Leading Life Producers of No. Calif. and the MCEPC. Registered representative with Nat'l. Assoc. of Security Dealers. Advanced certificates from American College for Financial Counseling and Estate Planning. I have served on many nonprofit, community and school district boards and committees.

Charles M. Haynor, CFA

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Description of Business: Rayner & Haynor, founded in 1977, is an independently owned investment counseling firm that offers asset management in conjunction with a full suite of wealth-planning services to individual and institutional clients.
Personal Background: Charlie grew up in Strawberry (Mill Valley), received his BA in Physics from UC Berkeley and an MBA from UC Berkeley, and is a Chartered Financial Analyst (CFA). Charlie began his career at Rayner Associates in 1990, has served as President since 1999, and is now owner of Rayner & Haynor.

Jill Hollander, CFP®

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Description of Business: Connecting your finances with your future. Fee-only financial planning and investment management.

Personal Background: Certified Financial Planner; Chartered Retirement Counselor; Accredited Domestic Partnership Adviser.

Hugh C. Ogilvie, CFA

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Description of Business: Rayner & Haynor is an investment counseling firm that helps wealthy individuals design and manage all aspects of their financial future on an ongoing basis. We take great care to understand our clients' lives and apply a holistic approach when advising them on the structure of their wealth.

Personal Background: Hugh grew up in the Northwest, received a BA in economics from the University of Victoria in British Columbia, and is a Chartered Financial Analyst. He has more than 20 years of experience building portfolios of stocks, bonds, funds, real estate and alternatives, and joined Rayner & Haynor in 2005. Hugh and his wife live in Corte Madera with their three children.

Bruce Raabe, CFA, MBA

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David W. Raub, JD, AEP

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Description of Business: Raub Brock Capital Management, LP, is an independent fee-only Registered Investment Advisor providing investment management and financial planning services for individuals, businesses, trusts and financial institutions.

Personal Background: David Raub, a graduate of Harvard Law School, has been a Registered Investment Advisor representative since 1991. He serves as principal and Chief Investment Officer of Raub Brock Capital Management. A member of the Calif. State Bar, he has maintained an active estate planning practice over the past 30 years, and has been recognized as an Accredited Estate Planner by the Nat'l. Association of Estate Planning Councils. He has devoted significant time to community affairs, including serving on the Mill Valley City Council and twice serving as Mill Valley mayor. He also writes a monthly investment column, "WealthWise," for NorthBay Biz magazine.

Steven Schmitz, RIA

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Description of Business: We provide our clients with individualized, custom investment solutions for their personal, pension, trust, estate, and family foundation investment portfolios.
Personal Background: B.S.M.E. - University of Santa Clara, cum laude 1965 - 1969; M.B.A. Finance and Economics, U.C.L.A., honors 1970-1971. Registered Investment Advisor (RIA).
Registered Principal (Series 7,24,63) 1989-Present.

Deborah Hoke Smith, AIF®

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Description of Business: Full spectrum of wealth management and corporate trustee services for individuals and businesses. Retirement plans for small-to-medium size businesses.
Personal Background: Accredited Investment Fiduciary®. Over 30 years' experience in investment securities business.
Degree from University of Michigan at Ann Arbor. Volunteer for various local nonprofits.

Alan Spiegelman, CLU, ChFC, AEP

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Greg Tull, CFA

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Description of Business: Meritas Advisors' core mission is to protect and grow the life savings of our high net worth clients throughout good and bad economic times. We coordinate with our clients' team of attorneys, accountants and insurance agents to deliver a comprehensive wealth management service.
Personal Background: Greg grew up in Pennsylvania, received a BA in economics from Harvard University, earned his MS in business on a Rotary Scholarship from University College Dublin, Ireland, is a former American record holder in swimming, and is a CFA charterholder. He has 18 years of investment advisory and financial services experience. Greg and his wife Linda live in Corte Madera with their two sons.

Peter C. Turner, JD

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Business Description: Bernstein provides financial planning and investment management services for high net worth individuals and institutions. The largest independent research firm on Wall Street, the firm currently has over $500 billion under management.

Personal Background: Mr. Turner is a Financial Advisor and Principal with Bernstein. Prior to joining the firm, he was a partner in the San Francisco law firm of Landels, Ripley & Diamond.